

The extent of the failure of Ramsey's theorem at successor cardinals

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Ramsey's theorem

The arrow notation

Let $\lambda \rightarrow (\lambda)_{\kappa}^2$ denote the assertion:

For every function $f : [\lambda]^2 \rightarrow \kappa$, there exists a subset $H \subseteq \lambda$ s.t.:

- ▶ $|H| = \lambda$;
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Sierpiński's theorem is pleasing on its own! It tells us that $[\omega_1]^2$ admits a rather wild 2-valued coloring.

Generalizing Sierpiński

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So, there exists a coloring $f : [\omega_1]^2 \rightarrow 2$ such that $[X]^2$ attains all colors for every uncountable $X \subseteq \omega_1$. This raises the question of whether an analogous statement concerning a coloring with more than two colors is valid.

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Theorem (Erdős-Hajnal-Rado, 1965)

$$CH \text{ entails } \omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2.$$

Generalizing Sierpiński

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Question: May the cardinal arithmetic hypothesis be eliminated?

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The rectangular square-bracket relation

Negative square-bracket relation

$\lambda \not\rightarrow [\lambda]_{\kappa}^2$ asserts the existence of a function $f : [\lambda]^2 \rightarrow \kappa$ such that for every subset $X \subseteq \lambda$: if $|X| = \lambda$, then $f \upharpoonright [X]^2$ is **onto** κ .

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Negative rectangular square-bracket relation

$\lambda \not\rightarrow [\lambda; \lambda]_{\kappa}^2$ asserts the existence of a function $f : [\lambda]^2 \rightarrow \kappa$ s.t. for every subsets X, Y : if $|X| = |Y| = \lambda$, then $f \upharpoonright (X \otimes Y)$ is **onto** κ .

The rectangular square-bracket relation (Cont.)

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CH entails $\omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$.

Theorem (Todorčević, 1987)

$\omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$ holds in ZFC.

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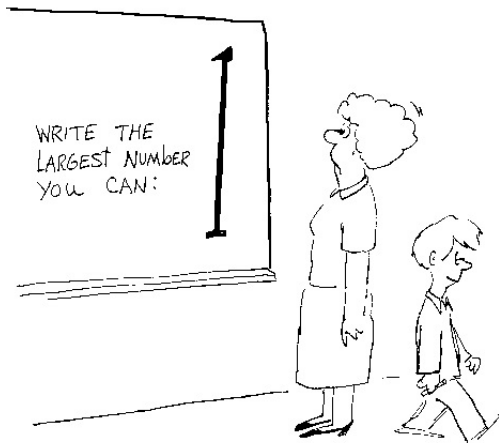
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Negative square-bracket for higher cardinals



The rectangular square-bracket relation for higher cardinals

Theorem (Erdős-Hajnal-Rado, 1965)

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Open Problems

1. Does $\lambda^+ \not\rightarrow [\lambda^+]_{\lambda^+}^2$ hold for every singular cardinal λ ?
2. Does $\lambda^+ \not\rightarrow [\lambda^+]_{\lambda^+}^2$ entail $\lambda^+ \not\rightarrow [\lambda^+; \lambda^+]_{\lambda^+}^2$?

A Solution to Problem #2



Main result: comparing squares with rectangles

Theorem

The following are equivalent for all cardinals λ, κ :

- ▶ $\lambda^+ \not\rightarrow [\lambda^+]_{\kappa}^2$
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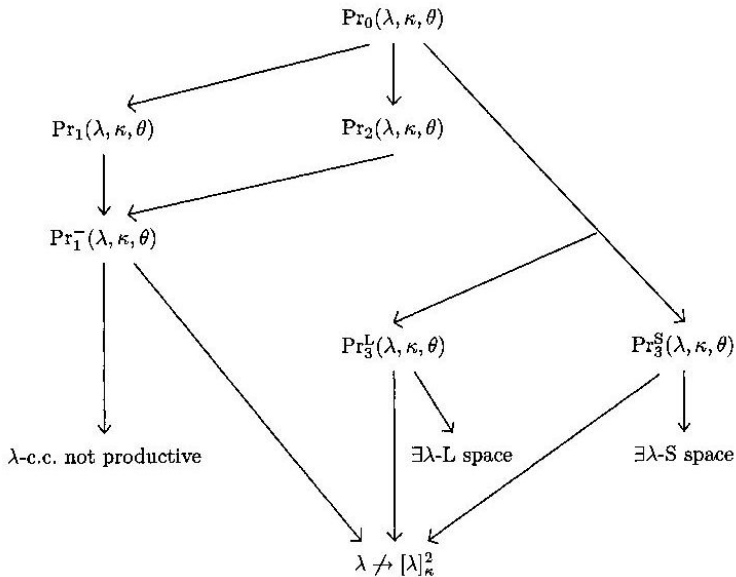
- ▶ $\lambda^+ \not\rightarrow [\lambda^+]_{\kappa}^2$
- ▶ $\lambda^+ \not\rightarrow [\lambda^+; \lambda^+]_{\kappa}^2$

The above is a corollary of the following ZFC theorem.

Main technical result

Every infinite cardinal λ admits a function $rts : [\lambda^+]^2 \rightarrow [\lambda^+]^2$ s.t.:
for every cofinal subsets X, Y of λ^+ , there exists a cofinal subset
 $Z \subseteq \lambda^+$ such that $rts[X \circledast Y] \supseteq Z \circledast Z$.

Shelah's study of strong colorings



Comparing classic concepts with modern one

Our main technical result was the missing link to the following.

Corollary (Eisworth+Shelah+R.)

TFAE for every uncountable cardinal λ :

- ▶ $\lambda^+ \not\rightarrow [\lambda^+]_{\lambda^+}^2$
- ▶ $\text{Pr}_0(\lambda^+, \lambda^+, \omega)$

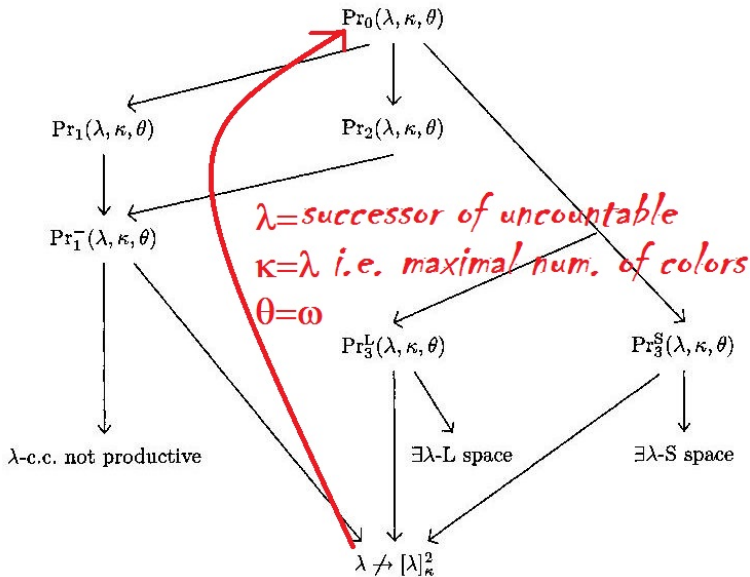
Definition (Shelah)

$\text{Pr}_0(\lambda^+, \lambda^+, \omega)$ asserts the existence of a function $f : [\lambda^+]^2 \rightarrow \lambda^+$ satisfying the following.

For every $n < \omega$, every $g : n \times n \rightarrow \lambda^+$, and every collection $\mathcal{A} \subseteq [\lambda^+]^n$ of mutually disjoint sets, of size λ^+ , there exists some $x, y \in \mathcal{A}$ with $\max(x) < \min(y)$ such that

$$f(x(i), y(j)) = g(i, j) \text{ for all } i, j < n.$$

Surprise, Surprise!!



Ingredients of the proof

Case 1. Successors of singulars



Successor of singulars — in ZFC

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- ▶ A generalization of Todorčević method of walks on ordinals, where each ordinal α admits a sequence of clubs, $\langle C_\alpha^i \mid i < cf(\lambda) \rangle$, rather than a single one;

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- ▶ A generalization of Todorćević method of walks on ordinals, where each ordinal α admits a sequence of clubs, $\langle C_\alpha^i \mid i < \text{cf}(\lambda) \rangle$, rather than a single one;
- ▶ Oscillation theory of *pcf* scales, plus coding, from which one can get essentially-generic guidelines on which clubs to visit throughout the generalized walks, and moreover, which ordinals to pick from these walks.

Ingredients of the proof

Case 2. Successors of regulars



Successors of regulars — in ZFC

Let λ denote a regular cardinal. Then:

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Corollary (Shelah+Moore)

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Remark

The proofs of 3,4,5 are entirely different, and it was unknown whether a uniform proof of $3 + 4 + 5$ exists.

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1. Moore's proof involves the definition of a function $o : [\omega_1]^2 \rightarrow \omega$ that witnesses $\omega_1 \not\rightarrow [\omega_1; \omega_1]_{\omega}^2$. (Then, a stretching argument yields $\omega_1 \not\rightarrow [\omega_1; \omega_1]_{\omega_1}^2$.)

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3. We then compose the generalized o with the classic function $\text{Tr} : [\lambda^+]^2 \rightarrow {}^{<\omega}\lambda^+$, and argue that this witnesses $\lambda^+ \not\rightarrow [\lambda^+; \lambda^+]_{\lambda^+}^2$.
4. While $\lambda^+ \not\rightarrow [\lambda^+; \lambda^+]_{\omega}^2$ has been established previously using other functions, the generalized o is the first function that is known to have this successful composition property.

Thank you!



The slides of this talk may be found at the following address:
<http://assafrinot.com/talks/asl2012>

More on successor of singulars — in ZFC

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If λ is a singular cardinal of uncountable cofinality, then $E_{\text{cf}(\lambda)}^{\lambda^+}$ carries a club-guessing sequence of a very strong form.

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If λ is a singular cardinal of countable cofinality, then $E_{\omega_1}^{\lambda^+}$ carries a club-guessing matrix of a very strong form.

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Still Open

Whether $\lambda^+ \not\rightarrow [\lambda^+]_{\lambda^+}^2$ hold for all singular λ , in ZFC.

Transforming Rectangles into Squares — in ZFC

Main technical result

For every singular cardinal λ , there exists a function $rts : [\lambda^+]^2 \rightarrow [\lambda^+]^2$ such that for every cofinal subsets X, Y of λ^+ , there exists a cofinal subset $Z \subseteq \lambda^+$ such that $rts[X \circledast Y] \supseteq Z \circledast Z$.

Remark: our proof builds heavily on previous arguments of Shelah, Todorćević, and most notably — Eisworth.

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- ▶ Fix a matrix of local clubs $\langle C_\alpha^i \mid \alpha < \lambda^+, i < \text{cf}(\lambda) \rangle$ that incorporates a club-guessing sequence/matrix.

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- ▶ Fix a function $f : [\lambda^+]^2 \rightarrow {}^{<\omega}\text{cf}(\lambda) \times {}^{<\omega}\text{cf}(\lambda)$ with strong coloring properties;
- ▶ Given $\alpha < \beta < \lambda^+$, consider $(\sigma, \eta) = f(\alpha, \beta)$;
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- ▶ Fix a matrix of local clubs $\langle C_\alpha^i \mid \alpha < \lambda^+, i < \text{cf}(\lambda) \rangle$ that incorporates a club-guessing sequence/matrix;
- ▶ Fix a function $f : [\lambda^+]^2 \rightarrow {}^{<\omega}\text{cf}(\lambda) \times {}^{<\omega}\text{cf}(\lambda)$ with strong coloring properties;
- ▶ Given $\alpha < \beta < \lambda^+$, consider $(\sigma, \eta) = f(\alpha, \beta)$;
- ▶ Let $\beta_0 := \beta$, and $\beta_{n+1} := \min(C_{\beta_n}^{\sigma(n)} \setminus \alpha)$ for all $n \in \text{dom}(\sigma)$;
- ▶ Let $\gamma := \max\{\sup(C_{\beta_n}^{\sigma(n)} \cap \alpha) \mid n \in \text{dom}(\sigma)\}$;

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The definition of rts is quite natural in this context, and so the main point is to verify that the definition does the job.

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- ▶ For every cofinal subset $X \subseteq \lambda^+$, every ordinal $\delta < \lambda^+$, and every type p in the language of the matrix-based walks, let $X_p(\delta) := \{\alpha \in X \mid \text{the pair } (\delta, \alpha) \text{ realizes the type } p\}$;

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- ▶ Conclude that $rts[X \circledast Y] \supseteq [S_p^X \cap S_p^Y \cap C]^2$ for the club C of ordinals of the form $M \cap \lambda^+$, for elementary submodels $M \prec H_\chi$ of size λ , that contains all relevant objects.